

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street)

1201 F Street, NW Suite 450

☐Check if different  
than previously  
reported. (ACC)

Washington

DC

20004

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00111559

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☒

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE**-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

☐☐☐in the  
State of☐(d) 30-Day  
**Post**-Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

☐☐☐in the  
State of☐

5. Covering Period

04

01

2009

through

04

30

2009

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Ms Mary Lynn, Whalen

Signature of Treasurer

Electronically Filed by Ms Mary Lynn, Whalen

Date

05

14

2009

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 12/2004)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name  
Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	4	0	1	2	0	0	9

To:

M	M	D	D	Y	Y	Y	Y
0	4	3	0	2	0	0	9

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2009</span>		187328.13
(b) Cash on Hand at Beginning of Reporting Period .....	197539.27	
(c) Total Receipts (from Line 19) .....	49273.46	189088.59
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	246812.73	376416.72
7. Total Disbursements (from Line 31) .....	0.00	129603.99
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	246812.73	246812.73
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	4	0	1	2	0	0	9

To:

M	M	D	D	Y	Y	Y	Y
0	4	3	0	2	0	0	9

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	31842.90	129388.16
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	17430.56	59700.43
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➤	49273.46	189088.59
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) ..... ➤	49273.46	189088.59
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	49273.46	189088.59
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	49273.46	189088.59

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)	0.00	0.00
(i) Federal Share.....		
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ➡	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	125500.00
24. Independent Expenditure (use Schedule E) .....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	4103.99
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....	0.00	4103.99
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share .....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	0.00	129603.99
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	129603.99

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	49273.46	189088.59
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	4103.99
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	49273.46	184984.60
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lauren E. Fisher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783937

Amount of Each Receipt this Period

225.00

**B.**

Full Name (Last, First, Middle Initial)

Edward L. Neuburg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783938

Amount of Each Receipt this Period

500.00

**C.**

Full Name (Last, First, Middle Initial)

Limin Wang

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783939

Amount of Each Receipt this Period

500.00

**SUBTOTAL** of Receipts This Page (optional) .....

1225.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 7 / 42

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert C. Skrzypczak

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783940

Amount of Each Receipt this Period

250.00

**B.**

Full Name (Last, First, Middle Initial)

Eraj Shirvani

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783941

Amount of Each Receipt this Period

2500.00

**C.**

Full Name (Last, First, Middle Initial)

Todd G. Sears

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783942

Amount of Each Receipt this Period

500.00

**SUBTOTAL** of Receipts This Page (optional) .....

3250.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stewart W. Brenner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783945

Amount of Each Receipt this Period

1000.00

**B.**

Full Name (Last, First, Middle Initial)

Christopher Wagner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783948

Amount of Each Receipt this Period

500.00

**C.**

Full Name (Last, First, Middle Initial)

Patrick J. Vaughan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783949

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional) .....

1750.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Nicole Arnaboldi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783951

Amount of Each Receipt this Period

1000.00

**B.**

Full Name (Last, First, Middle Initial)

Ethan Brosnick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783952

Amount of Each Receipt this Period

250.00

**C.**

Full Name (Last, First, Middle Initial)

Richard Zaloom

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783953

Amount of Each Receipt this Period

1000.00

**SUBTOTAL** of Receipts This Page (optional) .....

2250.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Timothy F. Michel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783954

Amount of Each Receipt this Period

500.00

**B.**

Full Name (Last, First, Middle Initial)

Robert Ortiz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783955

Amount of Each Receipt this Period

250.00

**C.**

Full Name (Last, First, Middle Initial)

Gregg Fatzinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783957

Amount of Each Receipt this Period

500.00

**SUBTOTAL** of Receipts This Page (optional) .....

1250.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 11 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Yuko Kuru

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 3 / 2 0 0 9

Transaction ID: 7783958

Amount of Each Receipt this Period

250.00

**B.**

Full Name (Last, First, Middle Initial)

John M Moriarty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812603

Amount of Each Receipt this Period

1000.00

**C.**

Full Name (Last, First, Middle Initial)

Maurice L Holmes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812604

Amount of Each Receipt this Period

500.00

**SUBTOTAL** of Receipts This Page (optional) .....

1750.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David M Platter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812605

Amount of Each Receipt this Period

500.00

**B.**

Full Name (Last, First, Middle Initial)

Ramanujachary Kumanduri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812606

Amount of Each Receipt this Period

500.00

**C.**

Full Name (Last, First, Middle Initial)

Delia Paval

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812607

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional) .....

1250.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Catherine Arnold

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812609

Amount of Each Receipt this Period

250.00

**B.**

Full Name (Last, First, Middle Initial)

Fenton C Tom

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812611

Amount of Each Receipt this Period

250.00

**C.**

Full Name (Last, First, Middle Initial)

Albert L Seward

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1624.96

Date of Receipt

M M / D D / Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812612

Amount of Each Receipt this Period

1000.00

**SUBTOTAL** of Receipts This Page (optional) .....

1500.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gary Gluck

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812613

Amount of Each Receipt this Period

1000.00

**B.**

Full Name (Last, First, Middle Initial)

David J Gross

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 0 8 / 2 0 0 9

Transaction ID: 7812614

Amount of Each Receipt this Period

500.00

**C.**

Full Name (Last, First, Middle Initial)

Charles W. Harper

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 1 7 / 2 0 0 9

Transaction ID: 7823439

Amount of Each Receipt this Period

500.00

**SUBTOTAL** of Receipts This Page (optional) .....

2000.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Cynthia S. Debeneditis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 1 7 / 2 0 0 9

Transaction ID: 7823440

Amount of Each Receipt this Period

500.00

**B.**

Full Name (Last, First, Middle Initial)

Osmar Abib

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 1 7 / 2 0 0 9

Transaction ID: 7823442

Amount of Each Receipt this Period

500.00

**C.**

Full Name (Last, First, Middle Initial)

James S. Richard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 1 7 / 2 0 0 9

Transaction ID: 7823443

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional) .....

1250.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

D. Neil Radey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 1 7 / 2 0 0 9

Transaction ID: 7823445

Amount of Each Receipt this Period

1000.00

**B.**

Full Name (Last, First, Middle Initial)

O. A. Caginalp

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 1 7 / 2 0 0 9

Transaction ID: 7823446

Amount of Each Receipt this Period

250.00

**C.**

Full Name (Last, First, Middle Initial)

Mary Flaherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 2 4 / 2 0 0 9

Transaction ID: 7832179

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional) .....

1500.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael A Marriott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

729.12

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 1 5 / 2 0 0 9

Transaction ID: 7847769

Amount of Each Receipt this Period

104.16

**B.**

Full Name (Last, First, Middle Initial)

Aditya G. Oomman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

729.12

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 1 5 / 2 0 0 9

Transaction ID: 7847830

Amount of Each Receipt this Period

104.16

**C.**

Full Name (Last, First, Middle Initial)

Michael A Marriott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: 7848339

Amount of Each Receipt this Period

-104.16

**SUBTOTAL** of Receipts This Page (optional) .....

104.16

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 42

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George W Coleman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11324652907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mika A. Toikka

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11324712907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John S Harrison

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11324862907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

375.02

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 42

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George R Hornig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11324882907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joseph F Huber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11324892907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gerald M Lodge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11324992907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

500.02

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Albert S Park

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325152907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Carlos Onis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325202907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Douglas L Paul

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325252907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

625.02

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen H. Can

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325262907

Amount of Each Receipt this Period

200.00

P/R Deduction (\$100.00 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael H. Schoen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325322907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gavin H Wolfe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325382907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

491.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John C Robertshaw

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325432907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Lewis H Wirshba

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325462907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephen L Oplinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

346.64

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325492907

Amount of Each Receipt this Period

86.66

P/R Deduction (\$43.33 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

503.34

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven H Garnett

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

520.83

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325512907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

William C Helm

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325572907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ladd W McQuade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325642907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

375.02

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Deborah A Langiulli

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325662907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Paul J O'Keefe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325672907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Faye Yezril

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325712907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

250.02

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert P Brennan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325732907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Lawrence A Hamdan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11325942907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Amin Khadduri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326002907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

624.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sheldon Hanau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326032907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Philip S Vasan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326082907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas E Fusco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326302907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

624.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Edmund F Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326362907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Steven M Yanez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326422907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gautam S Gujral

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326492907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

624.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Constance V Sailer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326532907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Angelo M May

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326562907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jeffrey A Altabef

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326582907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

375.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gerard V Muldoon, Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326712907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Cristina Von Bargaen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326802907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David N. Frank

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326822907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

499.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gail G Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11326982907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Nell Cady-Kruse

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11327092907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Anthony Orso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11327222907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

624.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

James E Hiatt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11327412907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Charles P. Pieper

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11327682907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Bruce K. Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11327892907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

625.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kristin L Sanborn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11327902907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Charles H. Weilmann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11328272907

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Douglas A Simons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11328582907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

499.98

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Neil A. Mitchell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11328662907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Neil Carragher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11328852907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David M. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11328922907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

624.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anthony DeChellis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11329092907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Matthew S DeSalvo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11329262907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mark A Rosen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11329302907

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

541.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Russell J Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11329432907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Scott Corman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11329442907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Hans W Bald

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11329682907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

500.02

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

William L. Raincsuk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11329752907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Donald E Pollard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11329912907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andrew B Federbusch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11329992907

Amount of Each Receipt this Period

100.00

P/R Deduction (\$50.00 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

516.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Doug J. Kepple

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11330072907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Timothy Darragh

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11330082907

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Timothy A. Dod

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

749.97

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR11330312907

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

458.34

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Matthew Donner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR12364752907

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gregory D Kennedy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR12365412907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stefano Natella

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR12365902907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

458.32

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert N. Pomroy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR12366022907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joseph E Reece

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR12366062907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Albert L Seward

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR12691132907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

624.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Victoria A Longo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

578.96

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR17871572907

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

William F Hughes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

366.19

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR22263942907

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Carlos A Laboy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR22264032907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

366.22

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Wendell A Mottley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.64

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR22264142907

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Steven C Pierson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR22264202907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jack L Rubin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

287.26

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR22264242907

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

427.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 / 42

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John Thorndike

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR22264322907

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas A Connors

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR22264392907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert S Flicker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 4 / 3 0 / 2 0 0 9

Transaction ID: PR23546112907

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

625.00

**TOTAL** This Period (last page this line number only) .....

31842.90